

PATENT
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10/06/01
J1151 U.S. PTO

JC821 U.S. PTO
09/985836
11/06/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents

BOX PATENT APPLICATION

Washington, D.C. 20231

**TRANSMITTAL FOR A NEWLY EXECUTED ORIGINAL APPLICATION
UNDER 37 C.F.R. §1.53(b)**

This is a request for filing a patent application under 37 C.F.R. §1.53(b) for:

Inventors: Kiyoshi TATEISHI

For: OPTICAL RECORDING MEDIUM, INFORMATION RECORDING
APPARATUS, AND INFORMATION REPRODUCING APPARATUS

1. This is a new Utility Design Plant patent application.
2. The papers enclosed to obtain a filing date are as follows:
54 Pages of Specification including
0 Title Page
12 Pages of Claims
1 Page of Abstract
5 Sheets of drawings containing 9 Figures
[] The enclosed drawing(s) are photograph(s), and there is also attached a PETITION TO ACCEPT PHOTOGRAPH(S) AS DRAWING(S)
3. Combined Declaration and Power of Attorney
 Enclosed and is executed by all inventors.
 Not Enclosed.
This application is being filed under the provisions of 37 C.F.R. §1.53(f).
Applicant(s) await notification from the Patent and Trademark Office of the time set for filing the Declaration and paying the filing fees.

4. Language

English
 Non-English

This application is being filed in accordance with 37 C.F.R. §1.52(d) and §608.01 of the MPEP. Applicant(s) await notification from the Patent and Trademark Office of the time set for filing the verified English translation and the processing fee.

5. Assignment

An assignment of the invention to Pioneer Corporation and a PTO Form-1595, Recordation Form Cover Sheet, are enclosed.

An assignment will be filed at a later date.

6. Priority - foreign applications under 35 U.S.C. §119(a)-(d) or §365(b) or PCT international applications under 35 U.S.C. §365(a) designating at least one country other than the U.S.

Priority of the following foreign application is claimed:

Country	Application No.	Filed
Japan	2000-337582	November 6, 2000

Certified copy: is attached. will follow.

7. Priority based on provisional application(s) - 35 U.S.C. §119(e)

Priority of the following provisional application(s) is claimed:

Application No.	Filed

A. Relate Back - 35 U.S.C. §119(e)

Amend the specification by inserting before the first line the sentence:
"This application claims priority of copending provisional application(s)
No. _____ filed on _____."

8. Small entity status

Small entity status under 37 C.F.R. §1.27 is asserted for the present application.

9. Fee Calculation (37 C.F.R. §1.16)

CLAIMS FOR FEE CALCULATION				
	Number Filed	Number Extra	at Rate of	Basic Fee
Total Claims (37 C.F.R. §1.16(c))	21 - 20 =	1	\$ 18.00 each	Utility \$740.00 Design \$330.00
Independent Claims (37 C.F.R. §1.16(b))	7 - 3 =	4	\$ 84.00 each	\$336.00
Multiple dependent claim(s), if any (37 C.F.R. §1.16(d))		\$280.00	+	
SUB-TOTAL =				\$1094.00
Reduction by 1/2 for filing by a small entity				- \$
TOTAL FILING FEE =				\$1094.00

10. Fee Payment

Not Enclosed. **NO FEE IS BEING PAID BY CHECK OR DEPOSIT ACCOUNT AT THIS TIME.**

This application is being filed under the provisions of 37 C.F.R. §1.53(f).
Applicant(s) await notification from the Patent and Trademark Office of the time set for filing the Declaration and paying the filing fees.

[X] Enclosed.

A check in the amount of \$1134.00 representing the basic filing fee of \$740.00, additional claims fee of \$18.00, additional independent claims fee of \$336.00 and an assignment recording fee of \$40.00 is enclosed.

11. [X] **Except** for issue fees payable under 37 C.F.R. §1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account 50-0310. This paragraph is intended to be a **CONSTRUCTIVE PETITION FOR EXTENSION OF TIME** in accordance with 37 C.F.R. §1.136(a)(3).

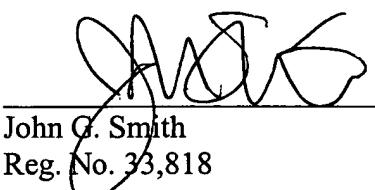
12. Additional papers enclosed:

Preliminary Amendment
 Information Disclosure Statement
 Form PTO-1449, ___ document included
 Declaration of Biological Deposit
 Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.

Please accord this application an application number and filing date.

Respectfully submitted,

MORGAN, LEWIS & BOCKIUS LLP


John G. Smith
Reg. No. 33,818

Dated: November 6, 2001

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